



Stem Cells & neurodegenerative diseases

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Neurodegenerative Diseases

➤A wide range of acute and chronic conditions in which neurons and glial cells in the brain and spinal cord are lost.

Acute : ischemic stroke or spinal cord injury
 Chronic: Parkinson disease (PD), amyotrophic lateral sclerosis (ALS), or Alzheimer disease (AD).

Neurodegenerative Diseases & Stem Cell Therapy

➢Clinical trials using stem cells have already been performed or initiated (e.g., for the rare, fatal, autosomal recessive neurodegenerative disorder Batten disease)

- ➢ No stem cell−based therapy has yet been proven beneficial for any neurodegenerative condition.
- ➢ Despite this fact, unproven treatments for several neurodegenerative diseases are offered at "clinics" around the world without rationale and with poor scientific and clinical basis.

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> Ethical, regulatory, societal, and economical issues need to be addressed.

Main considerations when we use stem cells to treat neurodegenerative diseases

What is required for the stem cell-based approach to be clinically competitive
 Risks to the patient that are acceptable, depending on disease severity. Animal models may not fully predict their toxicity, occurrence of immune and other biologic responses, and risk for tumor formation after implantation in patients.
 The variability between neurodegenerative diseases in the degree of disability that

they cause and in the therapeutic options that are available.

PD- symptomatic treatment

ALS- No efficient treatment

Main considerations when we use stem cells to treat neurodegenerative diseases

The **cell type** to be regenerated and transplanted.

PD- dopamine neurons

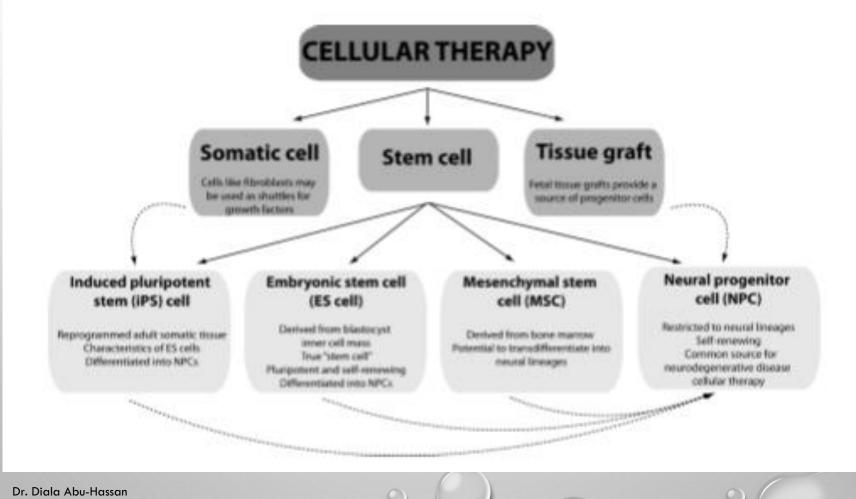
ALS – motor neurons

Stroke and Alzheimer's disease-several cell types

➤ The stem cell—based approach should show substantial improvement of functional deficits in animal models before their use in clinical application.

➤ To determine the biological mechanism underlying the observed effects of a stem cell-based treatment in an animal model. E.g. reconstruction of neuronal circuitry

Stem cell technology and cellular therapy classifications



Road map to a FDA-approved Phase I human safety trial for stem cell therapy for ALS

Time

0 In vitro characterization 1.91 Small animal model validation 2 yrs Animal Tumorigenesis Cell incorporation sarvival in situ integration study 4 yrs Large animal validation 5 yrs Human trial application FDA application Ethics review IRS approval Patient enrollment 7 yrs Exclusion Inclusion characteristics characteristics Informed consent Pre-operative Pre-operative exam 8 yrs counseling Operation Follow up assessment 10 yrs Post study assessment Data Safety Monitoring Board review Safety data is reviewed for each group before transitioning to next group

Translating a stem cell-based treatment from the bench to bed

Dr. Diala Abu-Hassan

Common considerations when translating stem cell therapies to neurodegenerative disease patients

Inclusion/exclusion criteria	Enrolling late-stage patients may prevent loss of quality of life Late-stage patients may mask any positive effects due to the intervention occurring too late in the disease course
Realistic expectation	Informed consent forms must clearly illuminate the goals of the study Safety trials vs. efficacy trials Expectations of therapeutic effects based on disease state at intervention
Controlled study	Ideal study is a double-blind placebo study Late-stage patients may mask any positive effects not observed due to the intervention occurring too late in disease Original PD studies offered control arms treatment after a 1-year follow-up which confuses interpretation of efficacy
Immunosuppression	While the brain remains an immunologically privileged site due to the blood-brain-barrier, there is evidence that this barrier can be compromised in disease Studies into cell graft survival demonstrate that immunosuppression increases that survival of graft tissue
Potential side effects	Prevent/minimize potential side effects (i.e. meningitis, fever) Avoid exacerbation of disease and tumor formation Risk vs. quality of life
Safety of cellular therapy administration	Consider CNS accessibility and safety of delivery methods Pros/cons of systemic delivery, lumbar puncture or stereotactic injection are important

Abbreviations: PD, Parkinson's disease; CNS, central nervous system.

Parkinson's disease (PD)



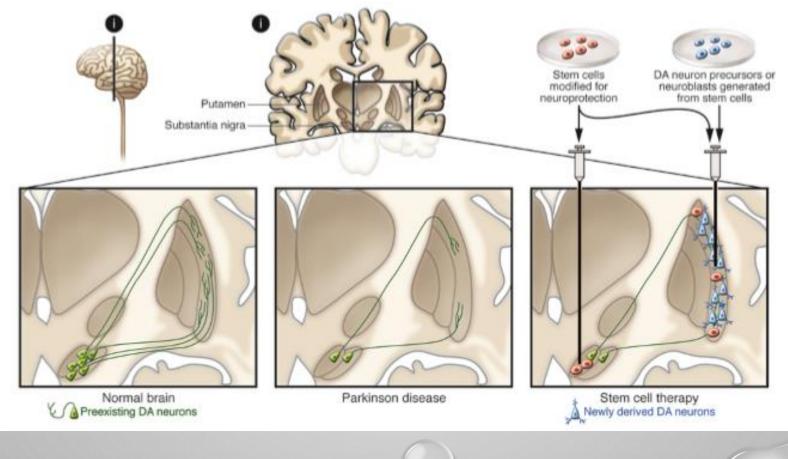
Characteristic symptoms are rigidity, hypokinesia, tremor, and postural instability Degeneration of nigrostriatal DA neurons is the main pathology

Tx: l-DOPA, DA agonists, enzyme inhibitors, and deep brain stimulation

No Tx for dementia

iPSCs for modelling the genetically complex PD

Proof of principle: clinical trials with intrastriatal transplantation of human embryonic mesencephalic tissue (rich in postmitotic DA neuroblasts).



Pros	Cons
-The DA neurons that form from the transplanted tissue reinnervate the denervated striatum and become functionally integrated, restoring striatal DA release and giving rise to clear symptomatic relief in some patients.	 -A small fraction of graft-derived DA neurons contain Lewy bodies (the hallmark of PD). - Availability of human embryonic mesencephalic tissue is limited.
11—16 years after transplantation, cell	Variability of functional outcome after
replacement remains a viable therapy.	transplantation is high.
The progression of pathology in graft-derived	Poor standardization of the transplanted
neurons is slow, and they are still functional	cell material contributes to the high
after a decade.	variability

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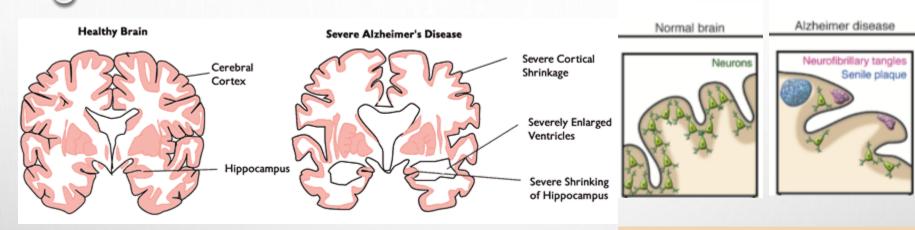
Other sources of DA neurons:

✓ ES cells
 ✓ Cloned ES cells
 ✓ NSCs and progenitors of embryonic ventral mesencephalon
 ✓ Adult NSCs from the subventricular zone (SVZ)
 ✓ Bone marrow stem cells
 ✓ Fibroblast-derived iPS cells
 Human stem cell-derived DA neuron precursors/neuroblasts can survive in animal models of PD and can be functional after maturation.

Hurdles that prevent stem cell therapy for PD from bench to clinic:

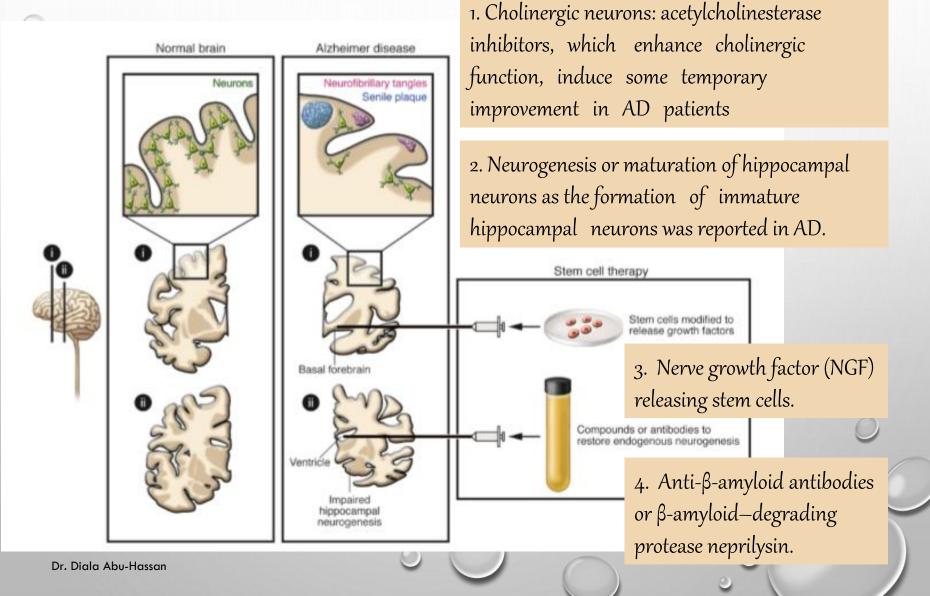
PD is a multisystem disorder, if nondopaminergic systems are affected, they will not improve by intrastriatal DA grafts.
 Substantial re-innervation of striatum has not been demonstrated.
 Restoration of DA release in vivo has not been demonstrated.
 Marked improvement (50-70%) in the deficits and symptoms experienced by PD patients has not been demonstrated.
 Risk of tumor formation-even if minor, it is not acceptable.
 The need to inject cells at all sites of injury.

Alzheimer's disease (AD)



Memory impairment, cognitive decline, and dementia due to widespread and progressive pathological changes Neuronal and synaptic loss, neurofibrillary tangles, and deposits of β -amyloid protein involve the basal forebrain cholinergic system, amygdala, hippocampus, and cortical areas.

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Hurdles that prevent stem cell therapy for AD from bench to clinic:

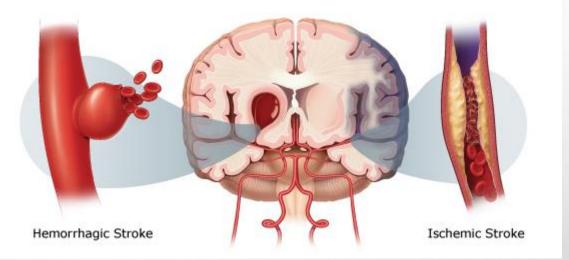
- ✓ Stem cells have to be pre-differentiated in vitro to many different types of neuroblasts for subsequent implantation in many brain areas.
- ✓ For a long-lasting symptomatic benefit, cholinergic cell replacement requires intact target cells (host neurons that the new cholinergic neurons can act on) that are damaged in AD.

✓ Stem cell-based cell replacement strategies are very far from clinical application in AD

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Stroke

Ischemic stroke, caused by occlusion of a cerebral artery, leads to focal death of multiple neuron types, as well as oligodendrocytes, astrocytes, and endothelial cells.

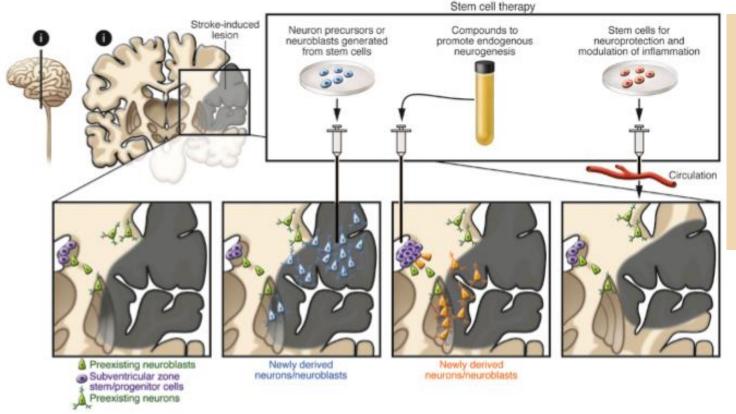


Neuronal plasticity and reorganization of neural circuitries contribute to spontaneous recovery to varying degrees, but most patients exhibit persistent motor, sensory, or cognitive impairments

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Stem cell—based therapies for stroke

Human ES cell-derived NSCs and MSCs, grafted into rat stroke site, migrated toward the lesion and improve forelimb performance.



IV injection of human NSCs induced improvements after hemorrhagic stroke in rats, probably through antiinflammatory actions

Stem cell—based therapies for stroke

- ✓ No substantial clinical improvements were detected after IV injection of autologous MSCs in patients with an ischemic lesion in the regions supplied by the middle cerebral artery (MCA).
- Several clinical studies using intravenous or intraarterial (into damaged territory) infusion of autologous bone marrow-derived stem cells in stroke patients are ongoing.
 A clinical trial in stroke patients involving transplantation of clonal, conditionally immortalized NSCs isolated from human fetal cortex.

Stem cell—based therapies for stroke

80% of neuroblasts and neurons die during the first two weeks after formation at stroke site in rats.

A. Cell survival can be improved by:

- 1. Inflammation-modulating agents
- 2. Caspase inhibitors
- 3. Neurotrophic factors

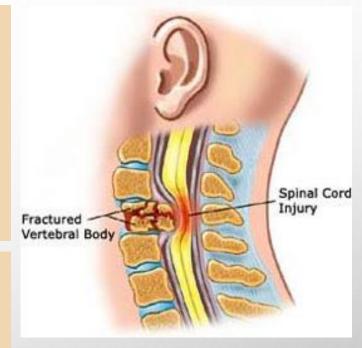
B. Promoting the **migration** of the new neurons to the damaged area by stromal cell-derived factor 1α [SDF- 1α], monocyte chemoattractant protein-1, and matrix metalloproteinase-9.

C. Stimulation of the **differentiation of cortical neurons** that form in limited numbers after stroke by growth factor delivery.

Spinal cord injuries

Pathological changes after spinal cord injury are complex and include:

- 1. Interruption of ascending and descending pathways
- 2. Loss of neurons and glial cells
- 3. Inflammation
- 4. Scar formation
- 5. Demyelination
- ✓ Patients experience loss of movement, sensation, and autonomic control below the level of the injured spinal segment.
- \checkmark Available treatments are ineffective.
- ✓ Different types of stem cells were tested and improved functional outcome in animal models through secretion of neurotrophic factors, remyelination of spared axons, or modulation of inflammation



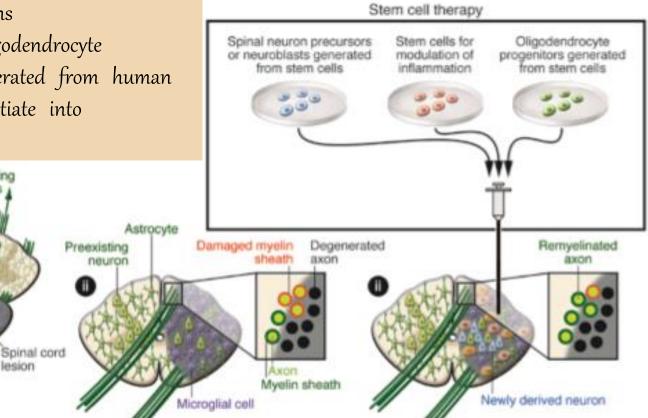
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Stem cell—based therapies for spinal cord injuries

Formation of neurons, oligodendrocytes, & astrocytes. Formation of synapses and axons **Remylenation**: high-purity oligodendrocyte progenitor cells (OPCs) generated from human ES cells in vitro can differentiate into oligodendrocytes (clinical trial)

Ascending

esion



Stem cell—based therapies for spinal cord injuries

Before moving to clinic:

Determine how to control the proliferation of transplanted stem cells and their progeny

Determine how to enhance the differentiation of these cells to the specific types of neurons that have been lost

Determine how the resulting neurons can be directed to format appropriate synaptic contacts

Stem cell—based therapies for spinal cord injuries

Other stem cell types

Umbilical cord blood, bone marrow—derived HSCs, and MSCs have already been applied in patients with spinal cord injury, with claims of partial recovery.

Problems in these trials:

- 1. The implanted cells were often poorly characterized.
- 2. The preclinical evidence of efficacy for several of these approaches was insufficient.
- 3. The therapeutic benefit was reported from open-label trials where patients had been subjected to physiotherapy.
- 4. The mechanisms underlying observed improvements were unclear.